

JC07 Rec'd PCT 11-10

22 MAR 2001

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U.S. DEPARTMENT OF COMMERCE
PATENT & TRADEMARK OFFICE

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S REFERENCE NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED ELECTED OFFICE (DO EO US)
CONCERNING A FILING UNDER 35 U.S.C. 371

REG 203B-US

U.S. APPLICATION NO. If known, see 37 CFR 1.1

NOT YET KNOWN

097787835

MAR 22 2001

INTERNATIONAL APPLICATION NO.

PCT/US99/22045

INTERNATIONAL FILING DATE

September 22, 1999

PRIORITY DATE CLAIMED

September 25, 1998

TITLE OF INVENTION

Receptor Based Antagonists, and Methods of Making and Using

APPLICANTS, FOR DO EO US

Neil Stahl and George D. Yancopoulos

Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(5)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13-ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

EI799645935US

22 MAR 2001

U.S. APPLICATION NO. <u>08/111,111</u> Not Yet Known	INTERNATIONAL APPLICATION NO. PCT/US99/22045	ATTORNEY'S CASE NUMBER REG 203B-US
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE 37 CFR 1.492 (a) (1) - \$50 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445 (a) (2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO. \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a) (2)) paid to USPTO. \$*10.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left;">CALCULATIONS PTO USE ONLY</th> </tr> <tr> <td style="width: 80%;"></td> <td></td> </tr> <tr> <td>\$ 710.</td> <td></td> </tr> </table>	CALCULATIONS PTO USE ONLY				\$ 710.																						
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Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td></td> </tr> </table>																											
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